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**PATENT APPLICATION**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: CA1464

Takeshi ISHIZAKI, et al.

Appln. No.: 09/964,129

Group Art Unit: 2143

Confirmation No.: 2009

Examiner: Kyung H. SHIN

Filed: September 25, 2001

For: INTEGRATED SERVICE MANAGEMENT SYSTEM

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed after either a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), but before payment of the Issue Fee, and therefore please charge the

**INFORMATION DISCLOSURE STATEMENT**

U.S. Appln. No.: 09/964,129

statutory fee of \$180.00 under 37 C.F.R. § 1.17(p), and a Statement Under 37 C.F.R. § 1.97(e) to Deposit Account No. 19-4880.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

  
Pavel I. Pogodin  
Registration No. 48,205

SUGHRUE MION, PLLC  
Telephone: (650) 625-8100  
Facsimile: (650) 625-8110

MOUNTAIN VIEW OFFICE

**23493**

CUSTOMER NUMBER

Date: October 31, 2006

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Date: October 31, 2006

Signed: 

Monica Moreno



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**STATEMENT UNDER 37 C.F.R. § 1.97(e)**

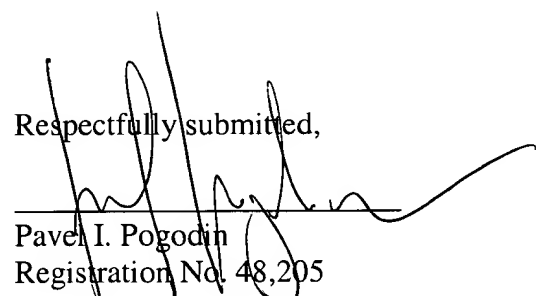
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That no item of information contained in the Information Disclosure Statement filed concurrently herewith was cited in any communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making a reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

  
Pavel I. Pogodin  
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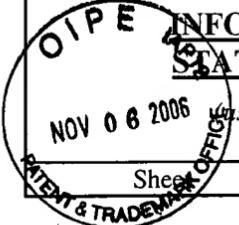
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Monica Moreno

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Complete if Known


**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

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of

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Application Number	09/964,129
Confirmation Number	2009
Filing Date	September 25, 2001
First Named Inventor	Takeshi ISHIZAKI
Art Unit	2143
Examiner Name	Kyung H. SHIN
Attorney Docket Number	CA1464

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 6,597,956	B1	07-22-2003	Aziz et al.
		US 7,085,827	B2	08-01-2006	Ishizaki et al.
		US 2003/0237016	A1	12-25-2003	Johnson et al.
		US 6,640,278	B1	10-28-2003	Nolan et al.
		US 6,487,547	B1	11-26-2002	Ellison et al.

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		DO-HYEON KIM, et al., "Design and implementation of network management systems for integrated management of LANS and WANS", International Journal of Network Management. May-June 2000, pp. 135-143, vol. 10, issue 3. John Wiley & Sons, Ltd.	
		MICHAEL A. BAUER, et al., "An Integrated Distributed Systems Management Architecture", IBM Centre for Advanced Studies Conference - Proceedings of the 1993 conference of the Centre for Advanced Studies on Collaborative research: software engineering - volume 1. 1993, pp. 27-40, vol. 1. IBM Centre for Advances Studies Conference, Toronto, Canada.	
		GARTH A. GIBSON, et al., "Network Attached Storage Architecture - In our increasingly Internet-dependent business and computing environment, network storage is the computer", Communications of the ACM. November 2000, pp. 37-45, vol. 43, no. 11.	

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.